



中 芯 国 际 集 成 电 路 制 造 有 限 公 司  
Semiconductor Manufacturing International Corp.

## CONFLICT MINERALS POLICY STATEMENT 冲突矿产政策声明

Semiconductor Manufacturing International Corporation (SMIC) is aware that trade in coltan (for tantalum), cassiterite (for tin), wolframite (for tungsten), and gold (collectively "Conflict Minerals") originating from conflict areas in the Democratic Republic of the Congo and its adjoining nations (collectively "DRC") may support serious human rights abuses.

As a concerned corporate citizen and U.S. publicly traded company, it is our policy to use only "DRC Conflict-Free" \*\* minerals as defined by applicable laws and regulations. To demonstrate compliance with this policy, we have begun to develop and implement Conflict Minerals procedures that conform to the OECD due diligence guidance. These procedures require that our suppliers undertake reasonable due diligence to ensure that their products do not contain Conflict Minerals that originate from conflict regions in the DRC and provide written evidence of at least the following:

1. To the extent practicable, documentation on the parties involved in the supply chain from extraction of the minerals to delivery of the material containing such minerals to SMIC;
2. Documentation on conflict minerals sourcing, such as a completed Electronic Industry Citizenship Coalition (EICC) and the Global e-Sustainability Initiative
3. A copy of our suppliers' Conflict Minerals policy statement, as provided to their suppliers; and
4. Certification that materials provided to SMIC contain minerals that are "DRC Conflict-Free."

SMIC will work with our relevant suppliers to verify on at least an annual basis: (1) their supply chain due diligence; and (2) the accuracy of all documentation they provide to SMIC. If at any point SMIC cannot determine that the minerals provided to us are "DRC Conflict-Free," we must promptly suspend engagement with the relevant supplier until the risk has been appropriately mitigated.

As a responsible company concerned with environmentally sound business practices, SMIC seeks suppliers who share our commitment.

Semiconductor Manufacturing International Corporation  
Date: 12-Mar-2012

\*\* "DRC Conflict-Free" is defined to mean the products that do not contain minerals that directly or indirectly finance or benefit armed groups in the Democratic Republic of the Congo or an adjoining country." - Dodd-Frank Wall Street Reform and Consumer Protection Act

中芯国际集成电路制造公司 (SMIC) 明白原产自刚果民主共和国及其毗邻国家的冲突区域 (统称为 "刚果民主共和国") 的钨钽矿石 (钽)、锡石 (锡)、黑钨矿石 (钨)、和金 (统称为 "冲突矿产") 的交易可能支持严重的人权侵犯。

作为一名关注此事的企业公民和在美国上市的公司, 我们的政策是仅使用适用法律和法规界定的 "刚果民主共和国无冲突矿产" \*\* 的矿物。为展示对此政策的遵守, 我们已经开始制定并实施符合 "经济合作与发展组织 (OECD)" 尽职审查方针的冲突矿产程序。这些程序要求我们的供应商采取合理的尽职审查以确保他们的产品不包含源于刚果民主共和国冲突区域的冲突矿产, 并对以下所列提供书面证明:

1. 在可行的范围内, 文档记载供应链中从提取矿物到交运含有此类矿物的原物料予 SMIC 的各个机构;
2. 文档记载冲突矿产来源, 如一份填报完整的 "电子产业公民联盟 (EICC)" 及 "全球电子可持续性倡议组织 (GeSI)" 冲突矿产报告模板;
3. 一份我们的供应商提供予其供应商的冲突矿产政策声明的副本;
4. 证明提供给 SMIC 的原物料里所包含的矿物是 "刚果民主共和国无冲突矿产"。

SMIC将与相关供应商的合作至少每年一次验证: (1) 其供应链的尽职审查; 及 (2) 所有提供给SMIC的文件的准确性。如果在任何时间点SMIC无法判断提供给我们的原物料是 "刚果民主共和国无冲突矿产" 我们必须立即中止与相关供应商的合作, 直到风险可以适当地减轻。

作为一家关注无害环境商业行为的负责任公司, SMIC 寻求愿意参与我们承诺的供应商合作。

\*\* 刚果民主共和国无冲突矿产  
被定义为不含冲突矿产的产品并且不直接或间接融资给刚果民主共和国或相邻国家的武装部队。